

Joint Audit & Compliance Committee

Agenda

10:30am – 11:00am – Executive Session

11:00am – 12:30pm - Public Meeting

Issue	Proposed Action	Tab
Executive Session [CGS Sections 1-200(6) (C) & (E)] to discuss: <ul style="list-style-type: none"> • Risk Based Audit Plan • Final Draft Audit Reports 		None
Opportunity for Public Comment		None
Minutes of the June 4, 2009 JACC Meeting	Approval	1
Follow-up to the June 4, 2009 JACC Meeting	Discussion	2
JACC Meeting Schedule (Academic Year 2009 – 2010)	Approval	3
Significant Compliance Activities	Update	4
Status of Audit Assignments (Storrs & UCHC)	Update	5
FY 2010 Audit Plans (Storrs & UCHC)	Approval	6
External Engagements <ul style="list-style-type: none"> • UHY – UConn 2000 – FY 08 Audit Report, Agreed Upon Procedures Report and Management Letter 	Update	7
The Audit Committee: “Internal Audit Oversight” – The Institute of Internal Auditors	Information	8
The University of Connecticut’s Joint Audit & Compliance Committee – Audit Committee’s Ten Point Oversight Check List	Discussion	
Informational/Educational Items <ul style="list-style-type: none"> • Compliance Courier (Summer 2009) – UCHC & Storrs • Institute of Internal Auditors “Tone at the Top” • PricewaterhouseCoopers “Business upheaval: Internal audit weights in its role amid the recession and evolving enterprise risks” 	Information Only	9

September 24, 2009

Individual Responsibility, Institutional Success