

**University of Connecticut Board of Trustees & University of Connecticut Health Center Board of Directors - Joint Audit and Compliance Committee Meeting**  
**December 7, 2005**  
**10:00 AM-12:00 PM**  
**AGENDA**

<u>Issue</u>	<u>Proposed Action</u>	<u>Time Allotted Minutes</u>	<u>Tab</u>
Opportunity for Public Comment		3	None
Minutes of September 8, 2005	Approval	3	1
Follow-up – September 8, 2005 JACC Meeting	Discussion	3	2
Significant Activities – September-November, 2005 Storrs Compliance & Audit UCHC Compliance UCHC Audit	Update/Discussion	5	3
Status of Audit Assignments Internal Audit PricewaterhouseCoopers	Update	5	4
Office of Audit, Compliance & Ethics Staff Education/Certifications Contact List Embedded Organizational Chart – Compliance Staff	Discussion	5	5

**University of Connecticut Board of Trustees & University of Connecticut Health Center Board of Directors - Joint Audit and Compliance Committee Meeting**

**December 7, 2005**

**10:00 AM-12:00 PM**

**AGENDA**

<u>Issue</u>	<u>Proposed Action</u>	<u>Time Allotted Minutes</u>	<u>Tab</u>
Documents for Board Review & Approval JACC Meeting Schedule Charter – Executive Compliance Committee Charter - Clinical Compliance Committee Draft - University's Ethics Statement Draft - University's Code of Conduct	Approval Approval Approval Review Review	10	6
External Audit Engagements	Review/Approval of Scope	5	7
Governor's Commission on UConn Review & Accountability The Office of Audit, Compliance & Ethics Follow-up & Action Plan	Discussion	5	8
Compliance Resource Group, Inc. Storrs Corporate Compliance Program Assessment – Final Report	Presentation Discussion	10	9
Blum Shapiro Management Letter – FY 2002 – Final Report The Office of Audit, Compliance & Ethics Follow-up & Action Plan	Presentation Discussion	15	10
KPMG UCHC - 2005 Financial Statement Audit	Presentation Discussion	20	11
Executive Session - Pending Claims & Litigation		30	
Other Business	Discussion		