

**University of Connecticut Board of Trustees & University of Connecticut Health Center  
Board of Directors - Joint Audit and Compliance Committee Meeting  
December 11, 2007  
10:00 AM-12:00 PM  
AGENDA**

Issue	Proposed Action	Time Allotted Minutes	Tab
Opportunity for Public Comment		3	None
Minutes of September 11, 2007	Approval	3	1
Significant Compliance Activities <ul style="list-style-type: none"> <li>• Storrs</li> <li>• UCHC               <ul style="list-style-type: none"> <li>◇ Executive Compliance Committee Charter (Revision)</li> <li>◇ Job Description – Compliance Education Director/Faculty Liaison</li> </ul> </li> </ul>	Update/Discussion  Board Approval Board Approval	10	2
NEW – OACE Quarterly Newsletter (UConn and UCHC) – “Compliance <i>courier</i> ” (Volume 1, Issue 1 - Fall 2007)	Discussion	5	3
Status of Audit Assignments <ul style="list-style-type: none"> <li>• Storrs</li> <li>• UCHC</li> <li>• IT – Storrs &amp; UCHC</li> </ul>	Update	5	4
2008 Audit Plan Revisions/Methodology <ul style="list-style-type: none"> <li>• Storrs</li> <li>• UCHC</li> </ul>	Update	10	5

**University of Connecticut Board of Trustees & University of Connecticut Health Center  
Board of Directors - Joint Audit and Compliance Committee Meeting  
December 11, 2007  
10:00 AM-12:00 PM  
AGENDA**

Issue	Proposed Action	Time Allotted Minutes	Tab
Auditors of Public Accounts – Independent Auditors’ Report on Applying Agreed-Upon Procedures to the Internal Audit related to the January 6, 2006 Compliance Agreement	Discussion	5	6
<p>External Audit Engagements</p> <ul style="list-style-type: none"> <li>• UHY, LLP – Request to hire – UConn 2000 expenditures for FY 07</li> <li>• PwC, LLP – Request to hire – Internal Auditing Services to augment UCHC’s internal audit capabilities</li> <li>• KPMG – Financial Statements – Fiscal Year 2007 <ul style="list-style-type: none"> <li>◇ John Dempsey Hospital</li> <li>◇ University Medical Group</li> <li>◇ Finance Corporation</li> </ul> </li> </ul>	<p>Board Approval</p> <p>Board Approval</p> <p>Presentation</p>	15	7
<p>Committee Education</p> <ul style="list-style-type: none"> <li>• A Holistic View of Risk – Institute of Internal Auditors (IIA)</li> <li>• Corporate Responsibility and Health Care Quality – Office of Inspector General (OIG) and American Health Lawyers Association</li> <li>• Clinical Trials Update</li> </ul>	Discussion	10	8
Executive Session Anticipated		10	n/a
Executive Session for Management Performance discussion with JACC Members, OACE Staff, External Auditors & State Auditors		10	n/a